



INDIANA UNIVERSITY

OFFICE OF THE VICE PROVOST FOR RESEARCH

Bloomington

TO: Indiana University Bloomington Faculty
FROM: P. Sarita Soni
Vice Provost for Research
SUBJECT: Faculty Research Support Program (FRSP):
Guidelines and Call for Proposals
DATE: November 20, 2009
DEADLINE: January 29, 2010

The Office of the Vice Provost for Research is pleased to announce the 2010 call for proposals for the Faculty Research Support Program (FRSP). The goal of this program is to support development, expansion and enhancement of research by faculty at Indiana University Bloomington.

Proposals in areas supported by the New Frontiers in the Arts and Humanities Program are not eligible for FRSP support. Visit <http://www.research.indiana.edu/funding/newfron/index.html> for more information on this program.

General Criteria

1. Proposals are invited from tenured and tenure-eligible faculty members of Indiana University Bloomington. Non-tenure-track faculty members whose evaluation criteria for promotion include research are also eligible with an explanation in the letter of support from their dean or chair. Visiting and adjunct faculty members are not eligible. Emeritus faculty members who are still active in research are eligible, but some budgetary restrictions may apply. Please contact your fiscal officer with questions. Priority will be given to applicants who have not received FRSP funding in the past.
2. OVPR seeks to support collaborative research that is in line with major national funding programs such as NSF, NIH, DOE, DOD or similar federal, state or private entities.
3. Proposals are encouraged in the life sciences and human health; energy, environmental science and sustainability; social and behavioral sciences, information technology and excellence in professional school research. Proposals focused on the development of teaching or academic programs will not be accepted.
4. OVPR seeks to support research that enables re-submission of externally reviewed proposals, or that fortifies new ideas that demonstrate promise for external funding.

5. Research that is at a stage where it should be submitted to an outside funding agency for consideration will NOT be eligible for FRSP support. Also note that the FRSP Program does not provide multiple year awards.
6. If external funding is awarded for a project submitted to FRSP, the remaining FRSP funds at the time of the external award must be returned to OVPR.
7. Proposals from applicants who retain a balance from start-up funds or from any other internal research funds and that could be used to cover the cost of the project will be returned without review.
8. Projects that include support for non-IUB participants will require appropriate cost sharing since FRSP funds may only be expended at IUB.
9. The principal criteria for scoring proposals include, the potential for a project to increase academic, intellectual and scholarly pursuit, and a proposal's potential for attracting external research funding to sustain or extend research.
10. Following award decisions, OVPR will provide summaries of the proposal's strengths and weaknesses to the PI upon request.

Submission Guidelines

Award Amount: In general, the total budget should not exceed \$75,000, including fringe benefits. However, up to \$200,000 will be considered for facilities, equipment, or large scale collaborations.

Award Dates: April 2010 – March 2011

Budgets should be for one-time amounts to be expended over 12 months.

Application Deadline: 5:00 p.m. EST, Friday January 29, 2010.

Application Requirements: Applicants must complete an electronic application, create a budget using the budget builder link on the electronic application form and attach the following as PDFs to the electronic application form. Please note page limitations.

Online Application: <http://www.research.indiana.edu/funding/frsp/>

Details on the components of the electronic application are provided below. Because IU Authentication is required, the PI cannot give permission to anyone in order for him or her to access the application for viewing or editing purposes. The PI must log in to complete and submit the application.

1. **Proposal Cover Sheet:** A cover sheet will be generated from the information provided in the electronic application. After submission, it will be routed to the unit fiscal officer named in the application to indicate the budget information has been verified. If the fiscal officer indicates on the form that the PI did not have pre-approval for the budget it will then route to the Dean or Department Chair for electronic approval. Proxies cannot be used.
2. **Project Description (4-page limit):** Upload a pdf file to the application containing a complete description of work to be performed and expected results. Any project description longer than four pages will be returned without review. The limitation does not include references, budget justification, CVs or letters of support.

Please keep in mind that the faculty review committee comprises faculty from various disciplines, and proposals are randomly assigned to these reviewers. Therefore, proposals should be written so that a reviewer from any discipline can understand and evaluate. It is recommended that you not use content from proposals submitted to external agencies.

The description should include:

- Prospects for attracting external funding to sustain or extend the work in the future. How will this project lead to external funding?
- Specific examples of agencies and foundations where the proposals resulting from this work will be submitted.
- Methods to be used and the projected results (e.g. publications, external funding submissions, exhibitions, symposia).
- Be explicit about collaborations and why they're important; define roles of the collaborators.

Proposals that have been reviewed by external agency: Not included in page limit.

In addition to the project description, upload pdf files of the original proposal and the agency reviews. The project description should contain information on how you intend to address the concerns raised by the reviewers. Include a time line for addressing each specific concern and resubmission of the proposal to the agency.

3. Curriculum Vitae (3-page limit): Upload up to four pdf files of current CV. Only include CVs for the PI and a maximum of three **IU** Collaborators.
4. Letters of Support: Two letters of support must accompany all proposals:
 - a. One from the chair or the dean of the academic program/unit that addresses the intellectual aspects of the proposal, the budgetary needs of the project, and the ability of the investigator to complete the project on time.
 - b. One from an expert in the field (not necessarily from Indiana University) that assesses the significance of the project and the likelihood of its success.

Letters can be uploaded as pdf files to the application by the applicant or emailed or faxed by the recommender by Friday, January 29, 2010. They can be sent to Donna Carter who will notify the applicant by email when they are received. Please include the names of those providing support letters and method of receipt in the notes section at the bottom of the application.

Donna Carter	frsp@indiana.edu
Office of the Vice Provost for Research	812.856.1368 phone
Franklin Hall 116-Y	812.855.6396 fax

5. Budget and Budget Justification: The budget is to be completed using the online Budget Builder found on the application form.

The budget should include all projected expenditures. A one-page budget justification is required for any item whose purpose or cost computation is not self-evident. Acceptable expenses are determined by the nature of the project and the justification provided.

- Be sure that the budget supports the proposal; budget must be consistent with what's in the proposal.
- FRSP funds may only be expended at IUB. This clearly means that subcontracts are not allowed. Paid consultants may be allowed if services are critical to the project and are unavailable at IU. Please include in budget justification.
- Academic Salaries are not allowed (including sabbatical leave). Course release funds are not allowed.

Budgets should be for one-time amounts to be expended over 12 months. Requests for base funding will not be considered. A "no-cost extension" with a justification from the PI is

necessary for funds to be carried over beyond 12 months. Extensions are limited to an additional 6 months. Beyond 18 months, the unexpended funds will be recalled.

Progress Report:

Each successful applicant will be required to submit a brief progress report no later than one year after award date. Requested data will include:

- Percentage of project completed
- Publications, performances, conferences, exhibits—titles, dates, venues, bibliographic info
- Utility in applying for external funding—titles, agencies, date submitted (past & future), amounts requested, status, awarded amount
- Recognition: awards, honors, prizes, reviews

IP Policy:

All awards are subject to Indiana University's intellectual property guidelines.

For details of the policy please see:

http://www.indiana.edu/~deanfac/acadhbkc/IPPolicy_Adopted_050208.pdf

Acknowledgement:

FRSP Awards must be acknowledged in any resulting publications, including press releases, using the following suggested language:

“This project was funded (or partially funded) by IU’s Office of the Vice Provost for Research through its Faculty Research Support Program.”

Document source:

This document, the electronic application and the associated documents are available on the Office of the Vice Provost for Research's Website:

<http://www.research.iu.edu/funding/frsp/>